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# **CMS Forward ECAL Upgrade LYSO Shashlik Design and Matrix**

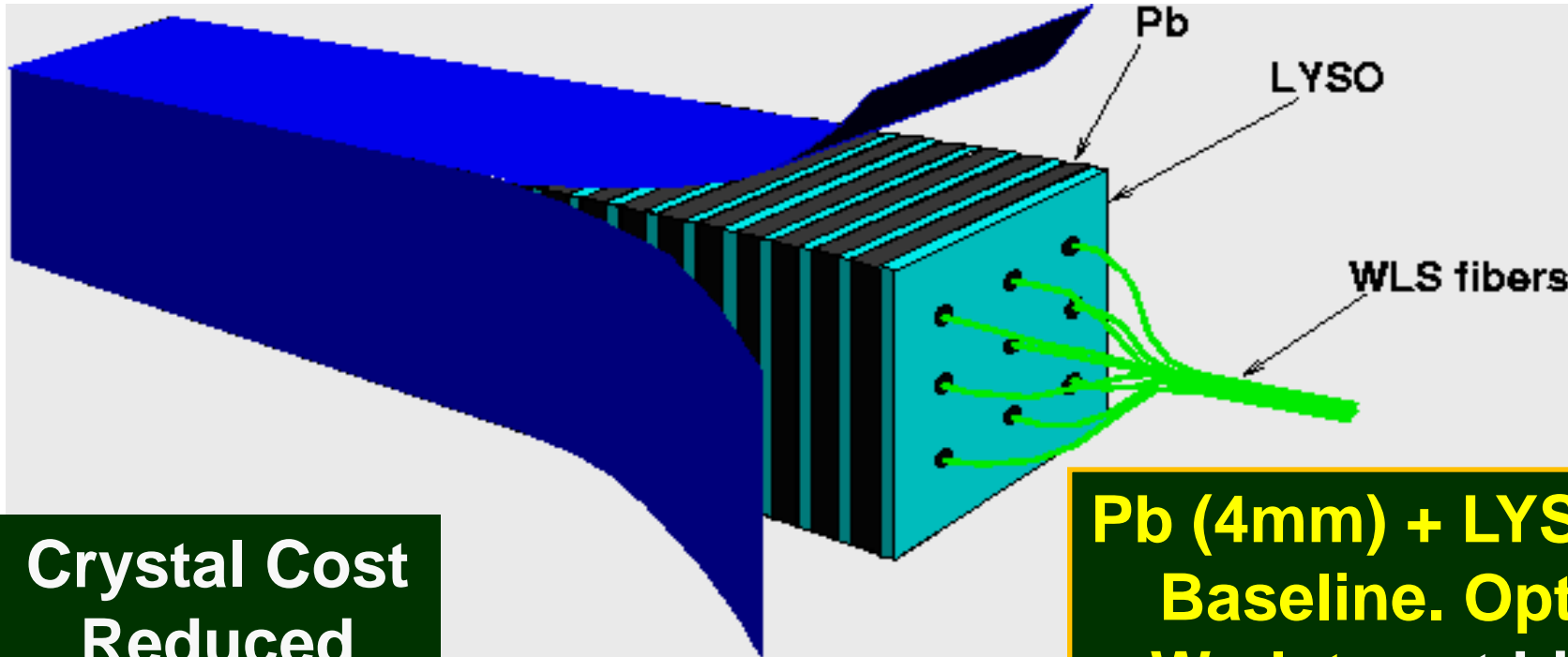
**Harvey B Newman**

**California Institute of Technology**

**February 19, 2013**

# Shashlik ECAL Design

Target e/ $\gamma$  resolution  $\sim 10\%/\sqrt{E} + 1\%$



Crystal Cost  
Reduced

**Pb (4mm) + LYSO (2mm)  
Baseline. Option for  
W plates at high  $\eta$  to  
maximize jet separation**

**Design Couples: Cell Size ( $R_M$ ), Depth  
( $X_0$ ), Sampling Fraction and Cost**

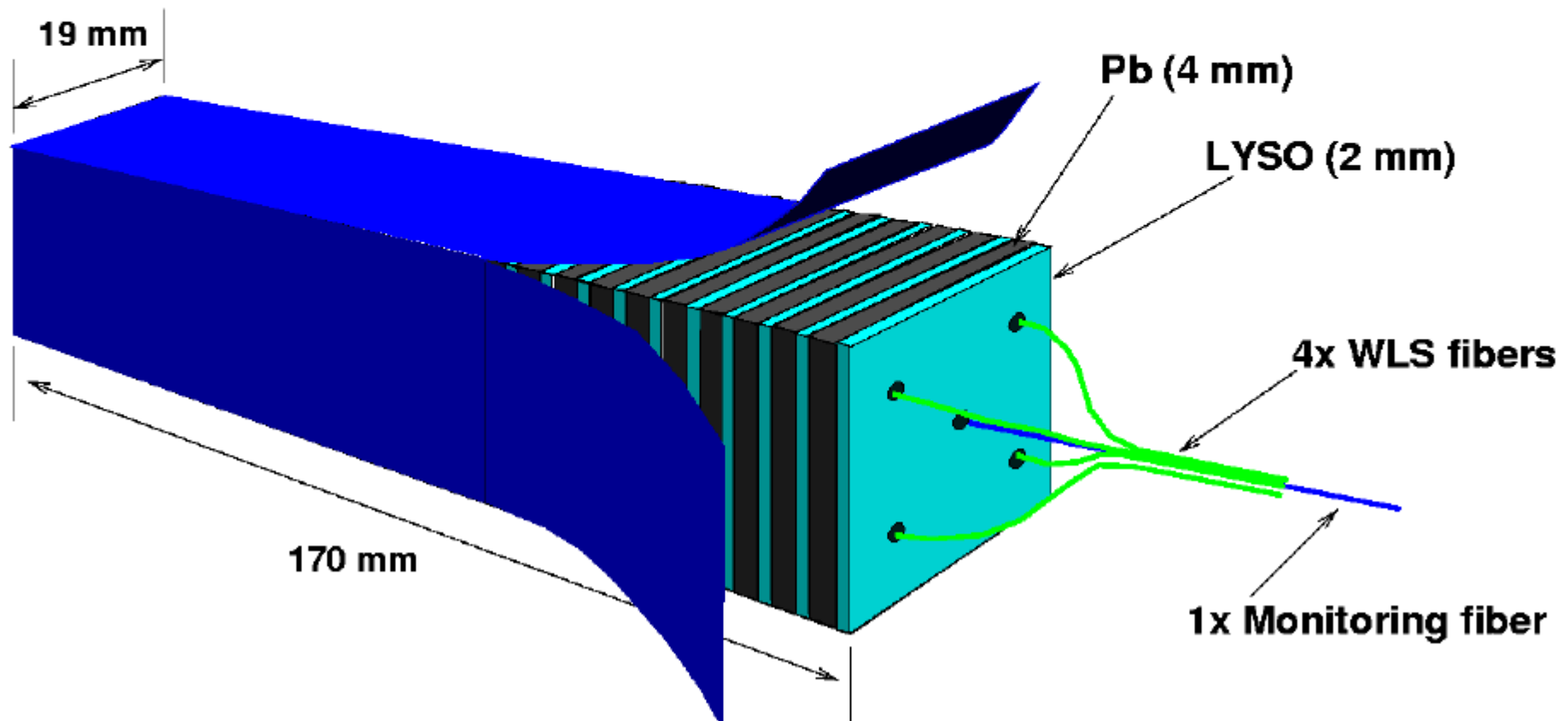
**Issues: Radiation hardness of (1) photodetector (2) WLS fiber**



# LYSO-Pb Shashlik Cell

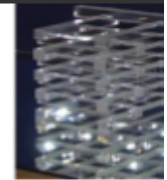


Presented in the 8/30/12 forward calorimetry taskforce meeting





# LYSO Shashlik Cell Design



Presented by R.Y. Zhu 12/12/13

		LHCb	Plan-1	Plan-2
		Lead (Pb)	Lead (Pb)	Tungsten (W)
Absorber	Density (g/cm <sup>3</sup> )	11.4	11.4	19.3
	Radiation Length (cm)	0.56	0.56	0.35
	Moliere Radius (cm)	1.60	1.60	0.93
	dE/dX (MeV/cm)	12.74	12.74	22.1
	Thickness (mm)	2	4	2.5
	Plates number	66	28	28
Scintillator		<b>BASF-165 Polystyrene (Sc)</b>	<b>LYSO</b>	<b>LYSO</b>
	Density (g/cm <sup>3</sup> )	1.06	7.4	7.4
	Light Yield (photons/MeV)	5200	20000	20000
	Radiation length (cm)	41.31	1.14	1.14
	Moliere Radius (cm)	9.59	2.07	2.07
	dE/dX (MeV/cm)	2.05	9.55	9.55
	Plate Thickness(mm)	4	<b>2</b>	<b>2</b>
Plates number	67	29	29	
WLS Fiber		<b>Kurarray Y-11(250)</b>	<b>Kurarray Y-11(250)</b>	<b>Kurarray Y-11(250)</b>
	Diameter (mm)	1.2	1.2	1.2
	Number /Cell	16	4	4
Cell Properties	Total Depth (X0)	24.22	25.09	25.09
	Sampling Fraction (MIPs)	0.25	0.28	0.26
	Total Physical Length (cm)	40	<b>17</b>	<b>12.8</b>
	Total Sc Length (cm)	26.8	<b>5.8</b>	<b>5.8</b>
	Absorber Weight Ratio	0.84	0.75	0.76
	Scintillator Weight Ratio	0.16	0.25	0.24
	Average Density (g/cm <sup>3</sup> )	4.47	10.04	13.91
	Average Radiation Length (cm)	1.65	0.68	0.51
	Average Moliere Radius (cm)	3.6	<b>1.7</b>	<b>1.2</b>
	Transverse Dimension (cm)	4.1	<b>1.9</b>	<b>1.4</b>
Sc-depth/Total-depth in X0	0.0268	0.2028	0.2028	
WLS Fiber Density (N/cm <sup>2</sup> )	0.97	<b>1.06</b>	<b>2.07</b>	
MIPs Energy Deposition	Sc plates (MeV)	54.94	55.39	55.39
Light Yield using MIPs	Photon Electrons/GeV	3077	11932	11932
Signal of MIPs	Photon Electrons / MIP	169	661	661
Energy Resolution	Stochastic term "a" (%) <sup>*</sup>	8.2	5.4	5.6

\* Assuming the same relation between stochastic term "a" and (Sc thickness/Sampling Fraction)<sup>1/2</sup> for LYSO crystal and plastic scintillator based Shashlik calorimeters.



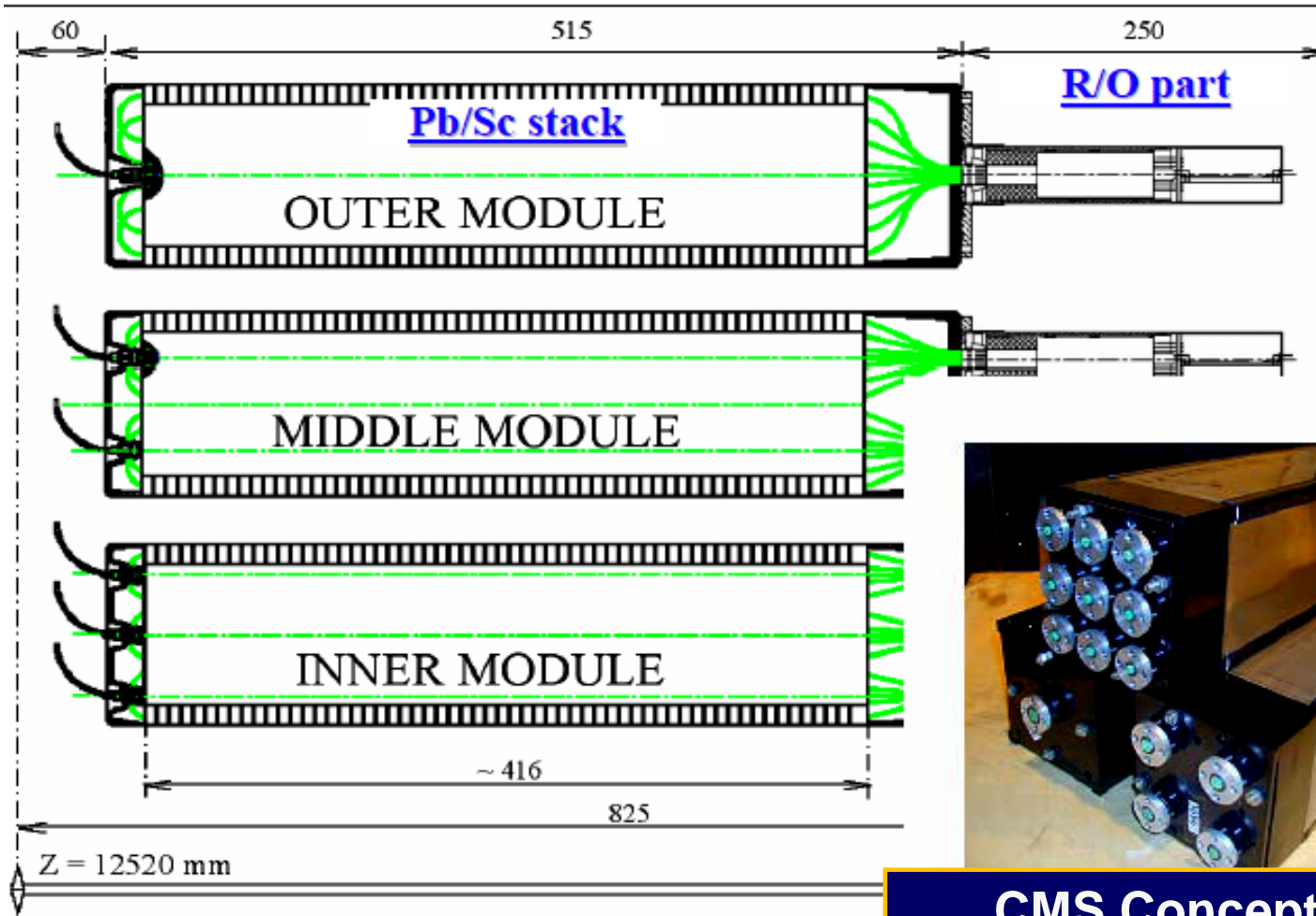
# Shashlik ECAL Design Key Parameters



4 Readout Fibers and 1 Monitoring Fiber Per Cell	Pb (4mm) + LYSO (2mm)	W (2.5mm) + LYSO (2mm)
Plates	28 Pb + 29 LYSO	28 W + 29 LYSO
Total No. of X0	25.1	25.1
Length	170 mm	128 mm
Transverse Size	19 mm (1.1 R <sub>M</sub> )	14 mm (1.1 R <sub>M</sub> )
Cells (2 Endcaps)	~36k	~65k
Crystal Volume (m <sup>3</sup> )	~0.38	~0.38
Avg. R <sub>M</sub>	17 mm	12 mm
Avg. X0	6.8 mm	5.1 mm
WLS Fiber Density/cm <sup>2</sup>	1.06	2.07
P.E./GeV	11.9k	11.9k
P.E./MIP	660	660
Stochastic Term	5.4%	5.6%

**Use Super-towers**

# LHCb ECAL: 3.3k Modules



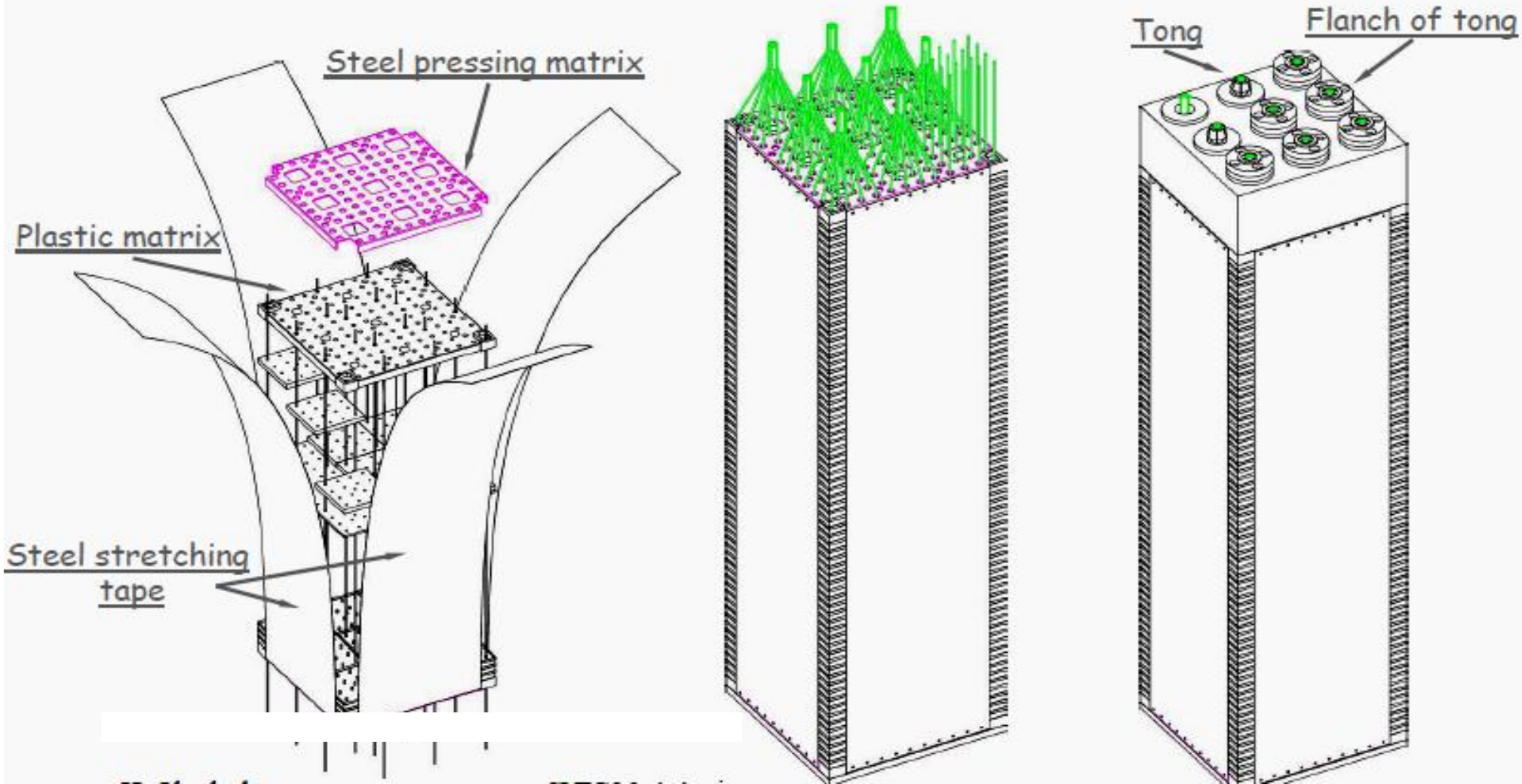
12 X 12,  
6 X 6 and  
4 X 4 cm<sup>2</sup>  
Modules  
6.6k Channels

All ECAL  
modules  
produced



CMS Concept: More Compact  
*Thin* Scintillator + Pb or W Plates  
Solid State GaAs readout

# LHCb Pb/Sc Shashlik ECAL Construction





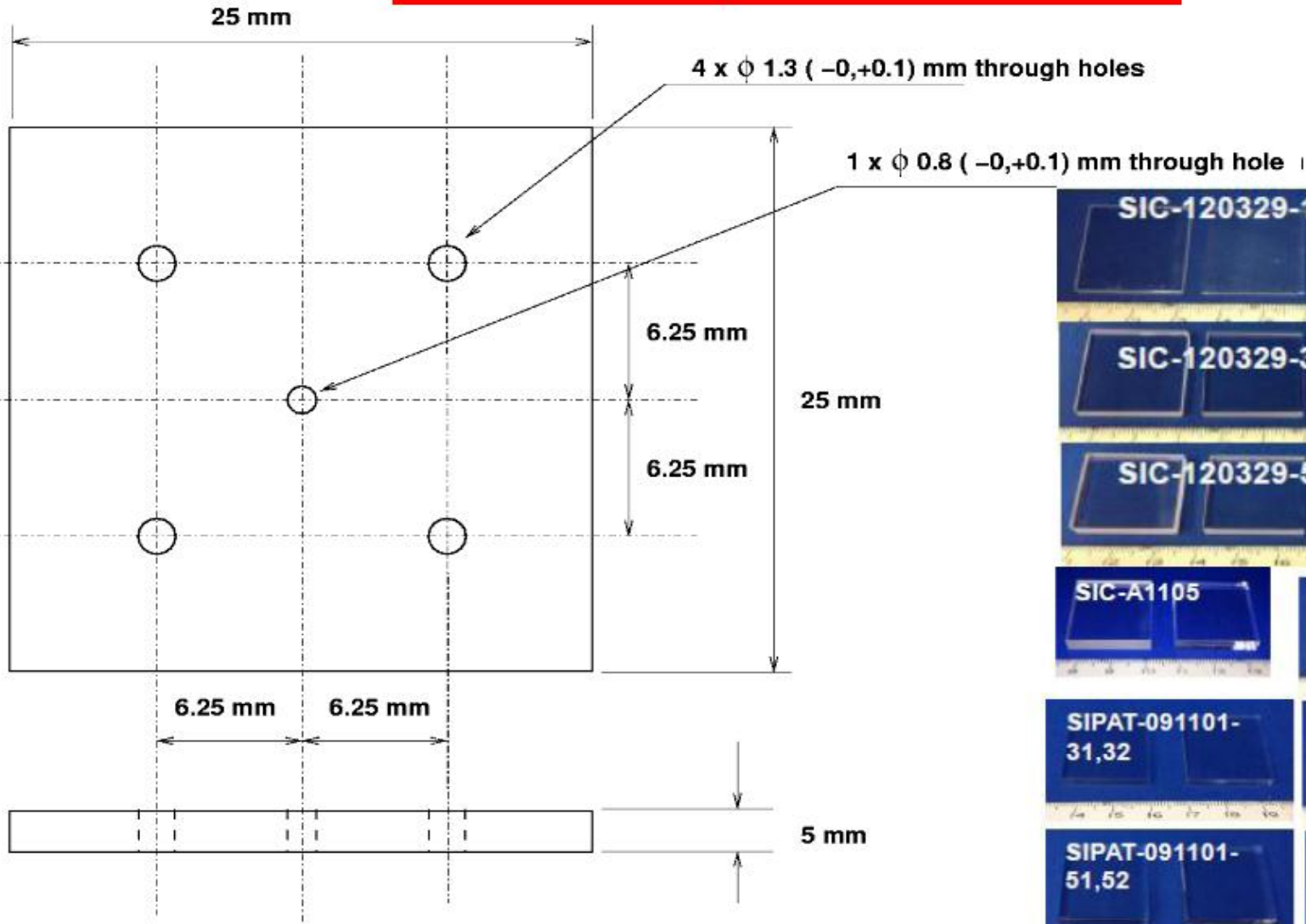


# Three LYSO Plates with Holes



**25 × 25 × 5, 3 and 1.5 mm<sup>3</sup>**

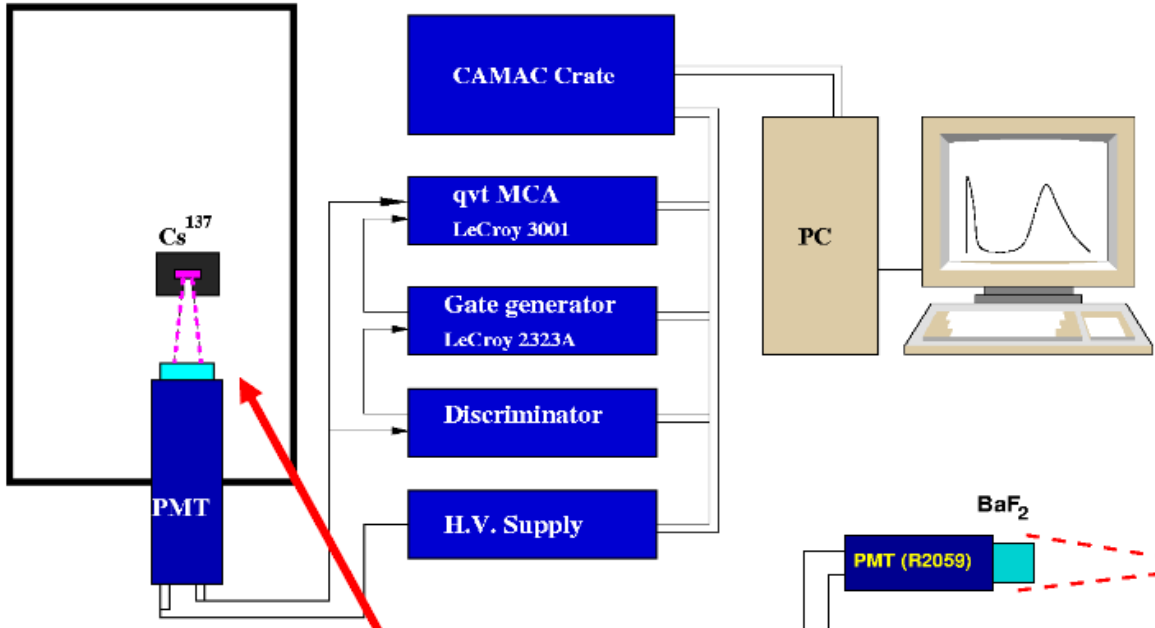
**Presented by  
R.Y. Zhu  
12/12/13**





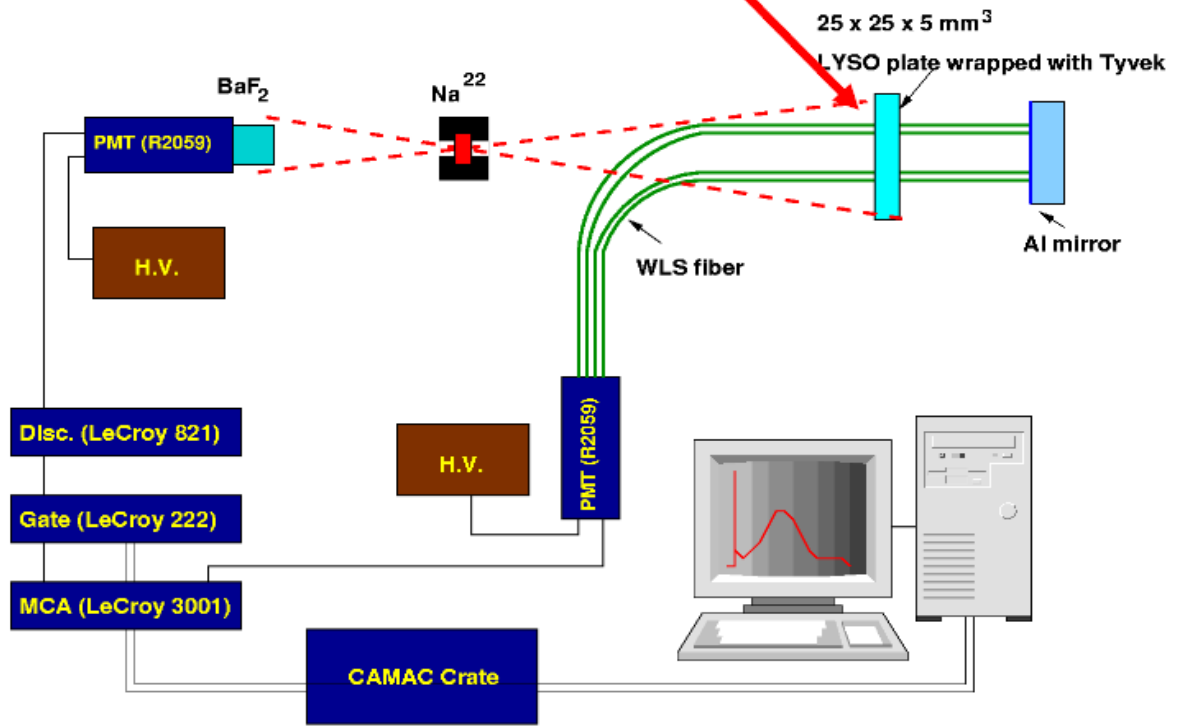


# Two Measurement Setups



1) LYSO plates with Tyvek wrapping are readout directly by a R1306 PMT using a Cs-137  $\gamma$ -ray source.

2) LYSO plates with Tyvek wrapping are readout with four Y11 WLS fibers of 40 cm long and a R2059 PMT using a Na-22  $\gamma$ -ray source and coincidence.



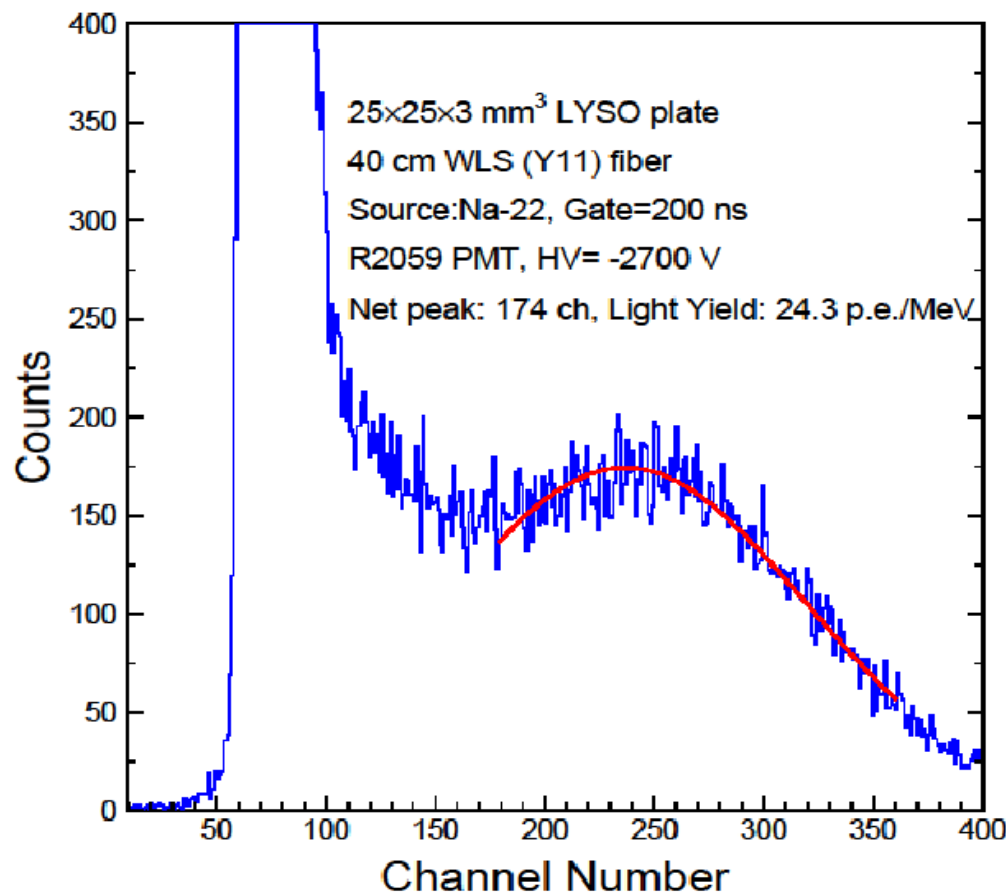
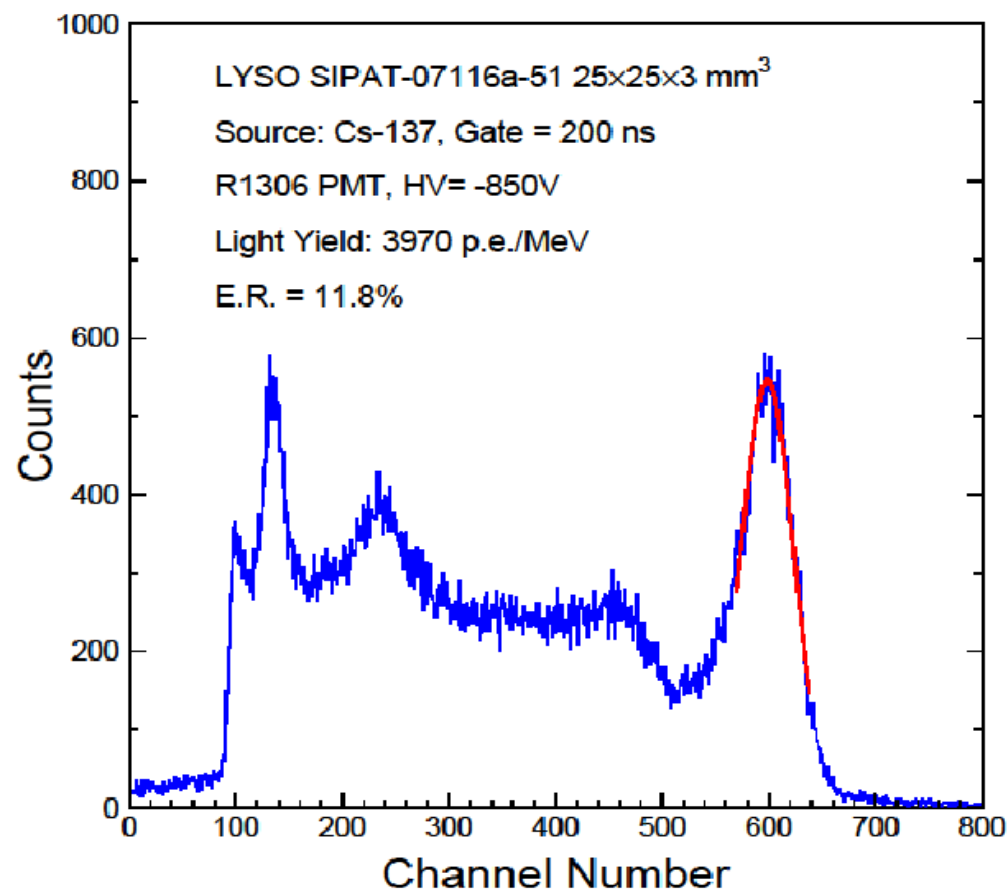


# PHS of 3 mm LYSO Plate



LYSO  $25 \times 25 \times 3 \text{ mm}^3$

3 mm plate & 4 x 40 cm Y11 fiber



**$\gamma$ -ray peaks are clearly visible**



# Light Collection Efficiencies



Samples	5 mm LYSO	3 mm LYSO	1.5 mm LYSO	LHCb cell*
LO <sub>1</sub> (p.e. /MeV)	3760	3970	4370	
LY <sub>1</sub> (Photons /MeV)	29150	30780	33880	5200
LO <sub>2</sub> (p.e./MeV)	20.7	24.3	17.9	3.1
LY <sub>2</sub> (Photons /MeV)	154	179	132	
MIP (p.e./55 MeV)	1140	1340	990	169
LO <sub>2</sub> /LO <sub>1</sub> (%)	0.55	0.61	0.41	
LO <sub>2</sub> /LY <sub>1</sub> (%)	0.07	0.08	0.05	0.06

\* 2009 J. Phys.: Conf. Ser. 160 012047.

Measured light collection efficiencies consist with LHCb data



# Shashlik ECAL: References



- 1) Irina Machikhiliyan for the LHCb calorimeter group, "The LHCb electromagnetic calorimeter", XIII International Conference on Calorimetry in High Energy Physics (Calor2008).
- 2) A. Bamberger et al., "The ZEUS forward plug calorimeter with lead-scintillator plates and WLS fiber readout", NIM A450 (2000), p 235-252.
- 3) C.S. Atoyán et al., "Lead-scintillator electromagnetic calorimeter with wavelength shifting fiber readout", NIM A320 (1992), p144-154.
- 4) L. Labarga and E. Ros, "Mont Carlo study of the light yield, uniformity and energy resolution of electromagnetic calorimeter with a fiber readout system", NIM A249 (1986), p228-234.



# Forward ECAL Shashlik Matrix

## Step by Step (1)

1. Define the scope of the testbeam matrix [Or Matrices; more later]
  - 5 X 5 Cells: Rectangular geometry - all tiles are the same size.
  - Normal Y11 fiber (capillaries later)
  - Photodevices: SiPMs (GaAs or GaInP later)
  - Keep in mind a Series of TB matrices; with increasing realism
2. Choose plate layout baseline: for example Pb(4mm) + LYSO (2mm)
  - W (2.5mm) + LYSO (2mm) may be done in a second TB round
  - Or – Swap the two designs with the W design going first
3. Define Cell design and assembly sequence
  - Mechanical design – Supertowers ?; Compression straps; fixtures. Provision for mounting photodevices and readout
  - Define tolerances [e.g. plate tolerances done & sent for quotes]
  - Define Assembly procedure
  - Define Tolerance Test after assembly
4. Cell Element Acceptance and Test Procedures
  - LYSO tiles: quality control; lab test
  - SiPMs: Acceptance and test



# Forward ECAL Shashlik Matrix Step by Step (2)

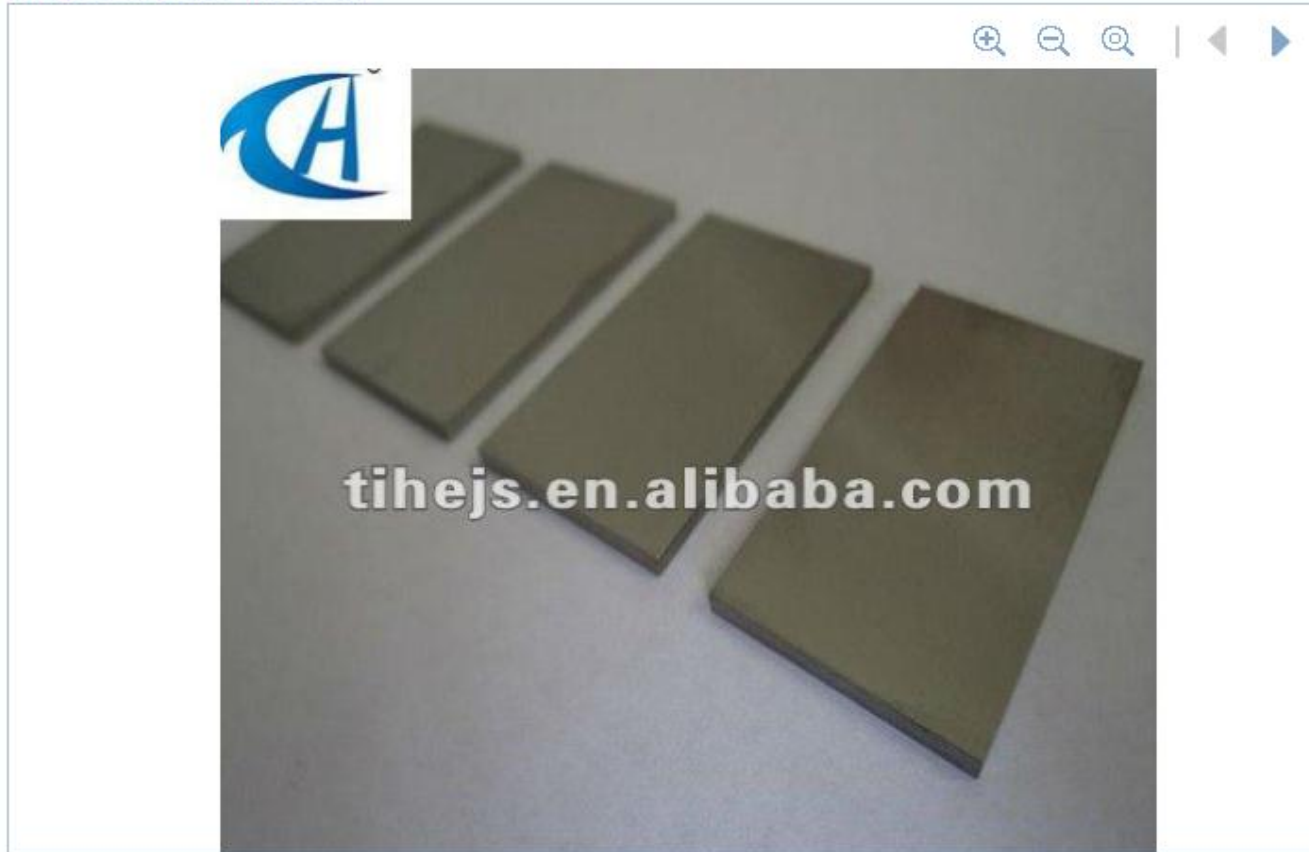
4. **Define Laboratory Cell-Test Procedures**
  - CR Tests: Test Stand
  - Sources
5. **Define and commission Matrix Test in lab: stand, CR, sources**
6. **Element Acquisition, Preparation and Costs**
  - Cost of Pb or W Tiles
    - Edges pre-machined or machined in-house
    - Delivered predrilled or drilled in house
  - LYSO Tiles
  - Y11 Fibers
  - SiPMs
7. **Purchasing**
8. **Construction**
  - First Single Cell Followed by Complete Test Sequence
  - Define Production cell assembly and test procedure
9. **Matrix Assembly and Test**



# Tungsten Plates in Stock

## “\$ 20-60 Per Kg”

tungsten plate in stock




### Brief Description

- 1.Materials: Pure Tungsten 99.95% Min
- 2.Size: 500 X 100X 0.5-100mm
- 3.Surface:Electropolishing
- 4.Standard: ASTMB760.

### Verified Company

 **Baoji Taihe Nonferrous Metal Co., Ltd.**

[ Shaanxi, China (Mainland) ] 

**Main Products:** Titanium Plate,Titanium Pipe,Titanium Bar,Nickel Plate,Titanium Wire

 Onsite Checked

No substantiated complaints in last 90 days 

Supplier's last login time: **Within 24 hours**

 Contact Details

[View this Supplier's Website](#)

 Offline

 **Contact Supplier**

Send a Message to this Supplier

## Need to understand the cost of many finished small tiles with holes

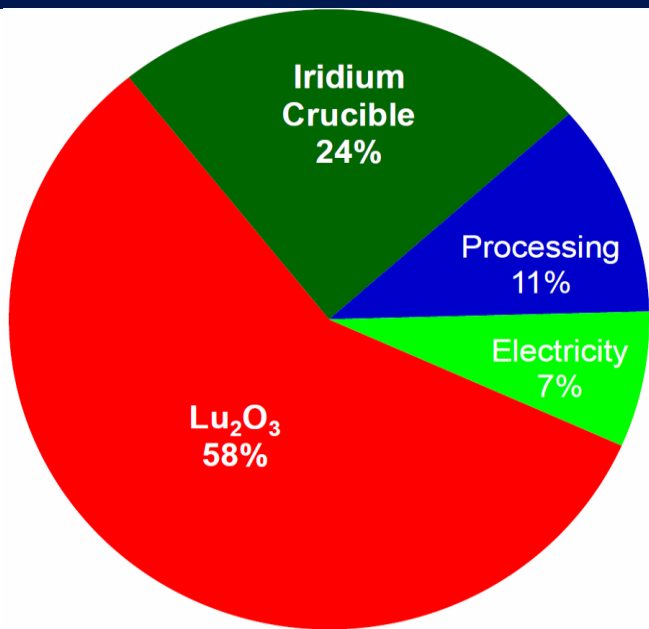


# LSO/LYSO Crystal Cost



## Now we need a series of small tiles

### Crystal Cost Breakdown



Assuming Lu<sub>2</sub>O<sub>3</sub> at \$4000/kg and 33% yield the cost is about \$18/cc. Quotations received at \$22-25/cc.

Lu<sub>2</sub>O<sub>3</sub> price fluctuates up in 2011 and down in 2012, showing market speculation on the rare earth control policy of the Chinese government.

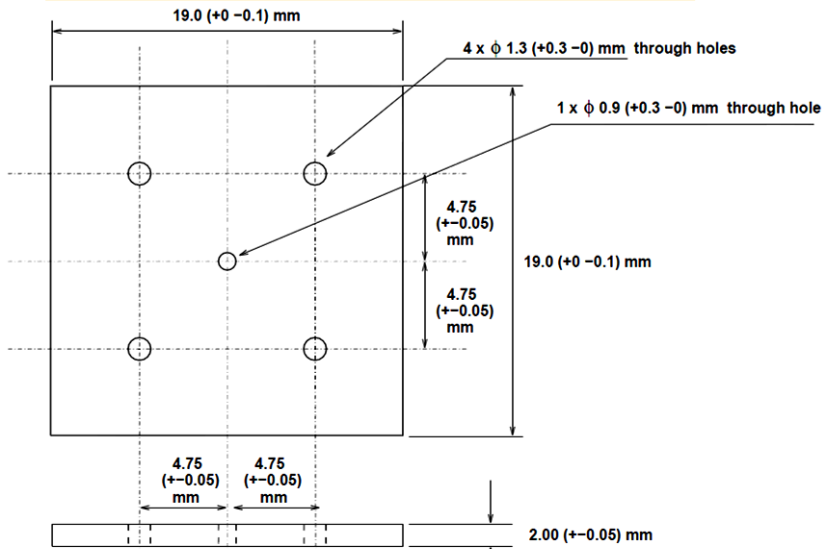


**NOTE:** We need to know the price for finished small tiles:  
 29 X 25 = 725 plus spares are required.  
 Do we need to cut and polish our own tiles ?

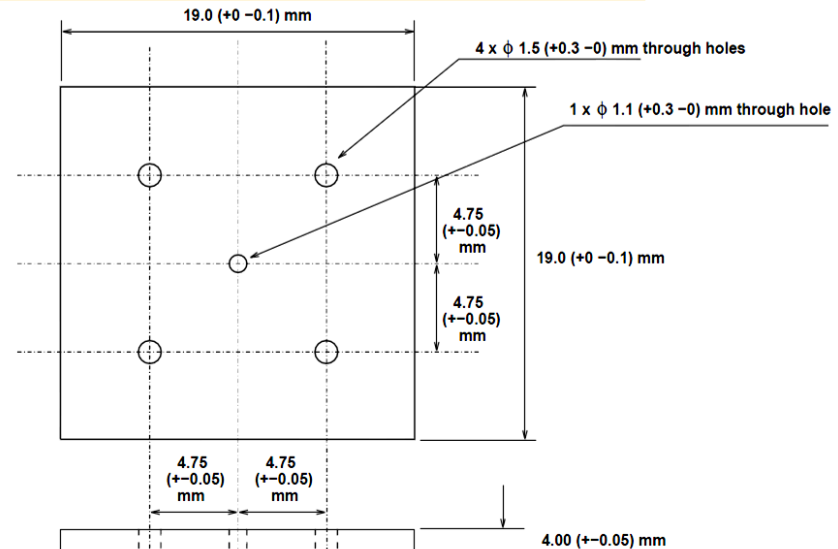


# LYSO, Pb and W Plates: with defined tolerances; sent out for quotes

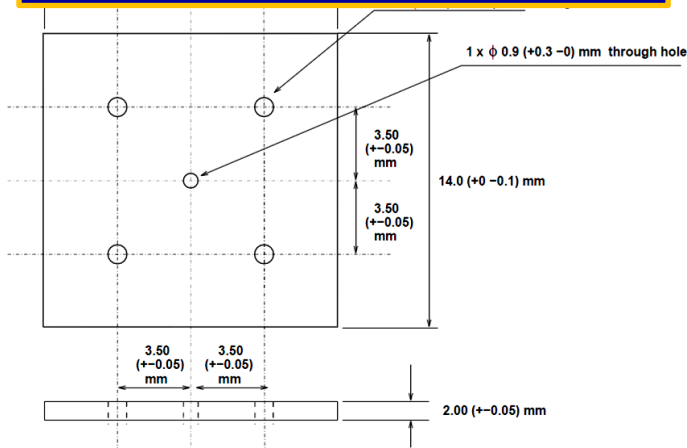
## LYSO Plate, Pb Design



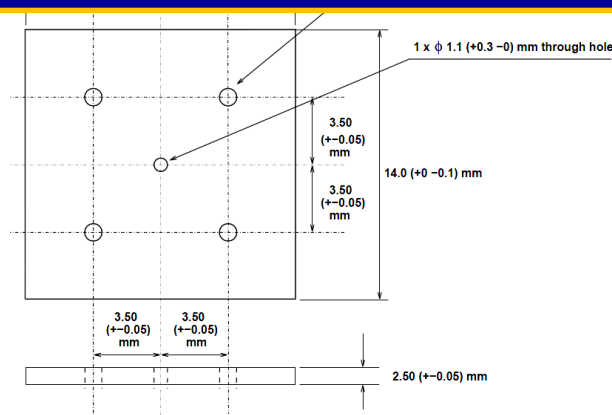
## Pb Plate



## LYSO Plate, W Design



## W Plate



# Conclusions

- We need a bottoms-up cost and schedule before scheduling a beam test of a 5 X 5 cell matrix.
- We need to confirm that we have the funds and level of effort required
- Shall we consider bringing a single cell or a 3 X 3 submatrix to the test beam first



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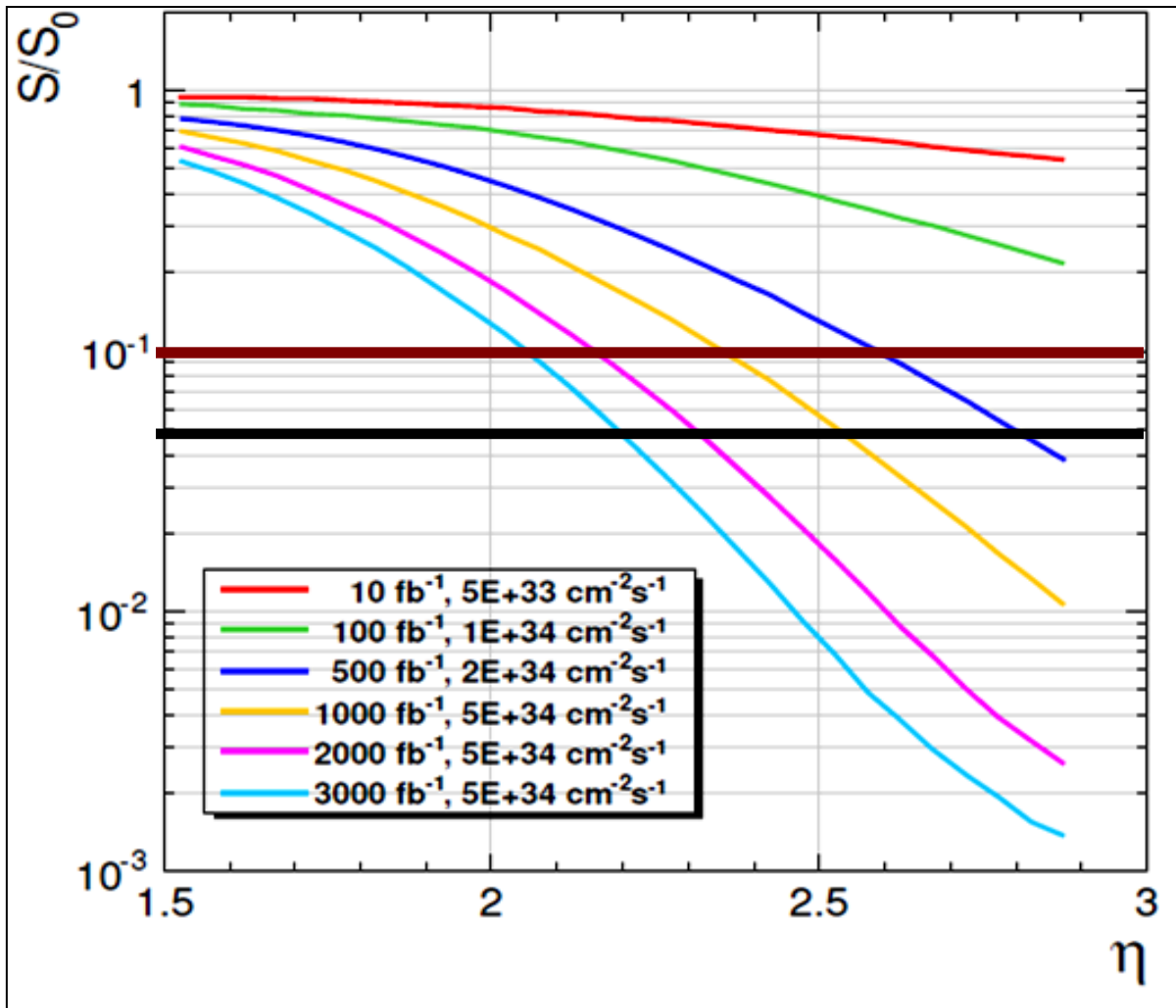
# Backup Slides Follow

# Remarks

- ❑ **LYSO is a radiation hard material with high speed and light output. It has been chosen as the baseline for these candidate design sketches for this reason.**
- ❑ **Potential Alternatives: So far not shown to be practical**
  - ❑ **Ceramics:** not radiation hard so far
  - ❑ **YSO:** Not a cost advantage: Perhaps 50% lower material cost, but larger volume is required, and there are no mass production sources
- ❑ **In addition to Higgs candidate mass resolution, good EM resolution and granularity are needed for:**
  - ❑ **Identification and background suppression, as well as the measurement of EM (e, $\gamma$ ) objects**
  - ❑ **Jet resolution and MET tail suppression (w/track or PF jets)**
- ❑ **We will progressively need better EE performance; also for X\_H decay modes and other new physics searches or study.**
- ❑ **With the present ECAL Endcap, we could have a problem with jet measurements already by LS2 (2018):**
  - ❑  **$\eta = 2.7 - 3.0$  could be ~lost by LS2**
  - ❑  **$\eta = 2.45 - 3.0$  could be ~lost by LS3**



# Model Predictions for EE Light Yield for 50 GeV Electrons. Simulation by Ledovskoy



## Results [90% LY Loss]:

$\eta=2.6 - 3.0$  ~Lost for  
Jets After LS2

$\eta=2.35 - 3.0$  ~Lost for  
Jets Between LS2 & LS3

$\eta=2.05 - 3.0$  ~Lost for  
Jets By End of HL-LHC

## Results [95% LY Loss]:

$\eta=2.8 - 3.0$  ~Lost for  
Jets After LS2

$\eta=2.55 - 3.0$  ~Lost for  
Jets Between LS2 & LS3

$\eta=2.2 - 3.0$  ~Lost for  
Jets By End of HL-LHC

**NB: 1.5-2X for neutron damage** (above fission threshold) + **Noise Term** Still to be Added

**Basic Question of  
EE Replacement,  
and When, Remains**

# Alternate Planning Considerations

- ❑ **Serious consideration should be give to the following alternative plan, If Necessary:**
  - ➔ **Remove ES and replace it by a compact ECAL insert, like the Shashlik (W+LYSO) design shown for example**
  - ➔ **Cover at least  $\eta = 2.45 - 3.0$ ; possibly  $2.0 - 3.0$  if time permits**
  - ➔ **If jet measurements are verified to be severely impacted, as indicated so far, then we may need to do this even if the endcap is moved back a few centimeters; Else fit in the available space.**
- ❑ **Installing a “plug” in place of ES in LS2 will in any case be essential to understand the needs for LS3, if a full size forward ECAL insert cannot be done in time for LS2**
- ❑ **We need to proceed to system design considerations, and targeted R&D on specific items, starting now**
- ❑ **Further studies, to guide and help pin down the future plan and upgrade schedule, are crucial now.**



# Higgs (Now $X_H$ ) Analysis

We need to consider the “Higgs” Analysis Needs

**Note: present EE has ~no role in H  $\rightarrow$   $\gamma\gamma$ : Resol'n + MET Tail**

- Endcap ECAL performance resolution needs improvement
  - Need to consider crystals, ES + VPT degradation over time
    - $\rightarrow$  Need to study this in more detail in the determination of the Higgs properties analysis, as well as SUSY
- $X_H$  BRs ( $WW$ ,  $ZZ^*$ ,  $\gamma\gamma$ ,  $\tau\tau$ ), spin, and other properties using larger acceptance (high R9) for higher resolution and ID is now important
- 2015-2018, as well as 2019-2021 (After LS2) will be crucial:
  - We need to realistically evaluate the ECAL performance versus time, to frame the physics program for Phase 1 and Phase 2
  - Apart from the ECAL performance in isolation, we need to have a realistic picture of the trigger, reconstruction and selection, in the presence of pileup at  $\sim 13$  TeV, 25 nsec bunch spacing: for jets as well as photons and electrons.



# Crystal R&D Result

**LSO/LYSO is a bright (200 times light of PWO) and fast (40 ns) crystal scintillator. It has been widely used in the medical industry. Its good mechanical characteristics allow it to be used in various forms for different calorimeter designs.**

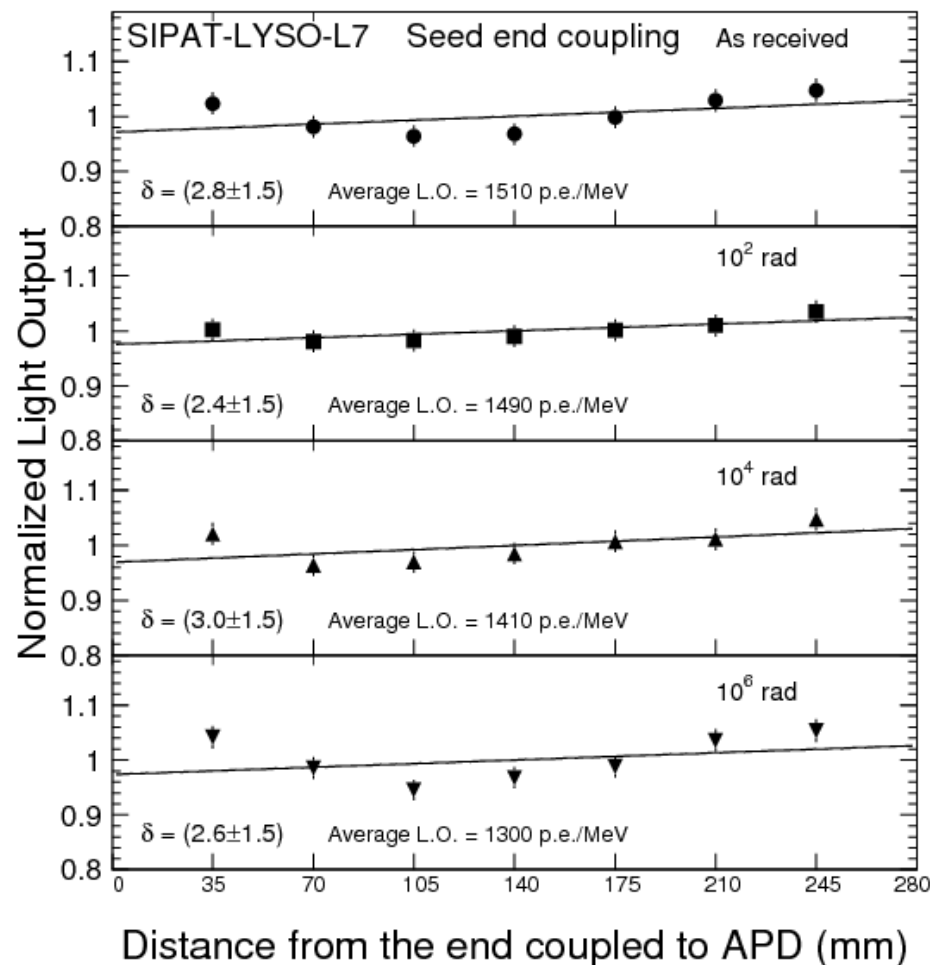
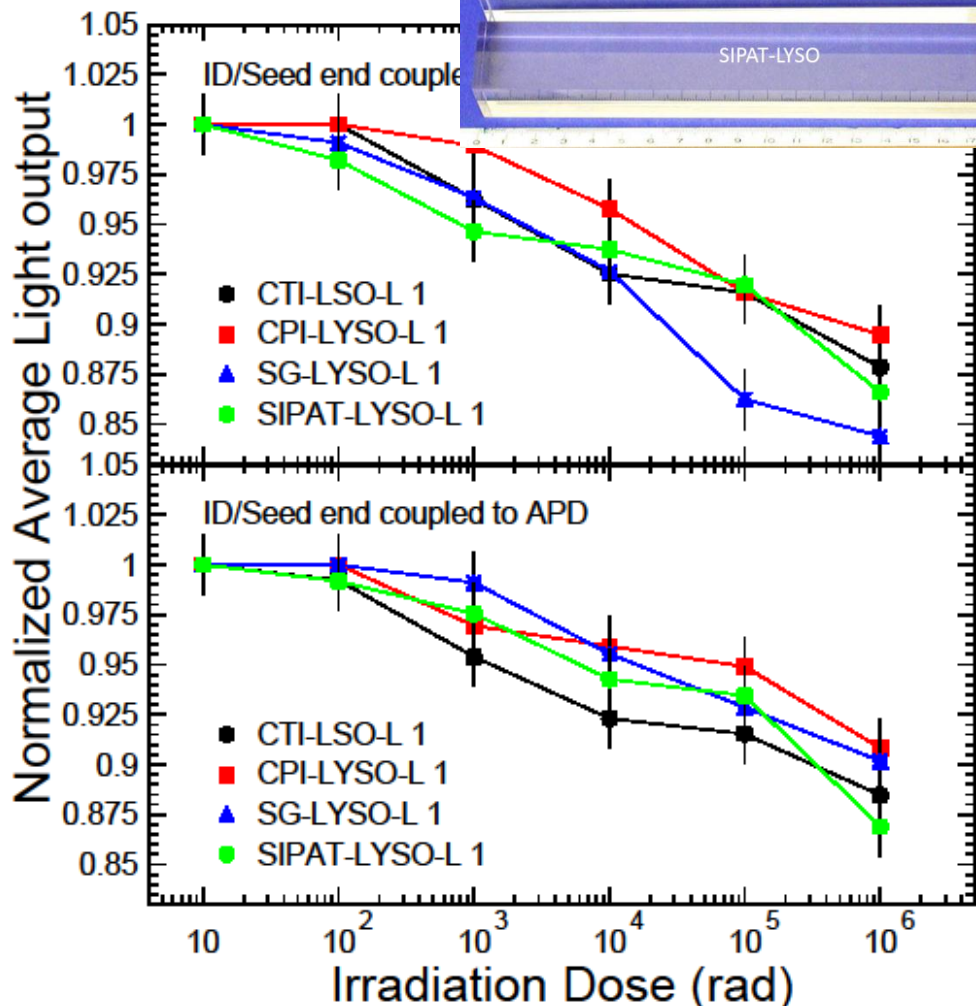
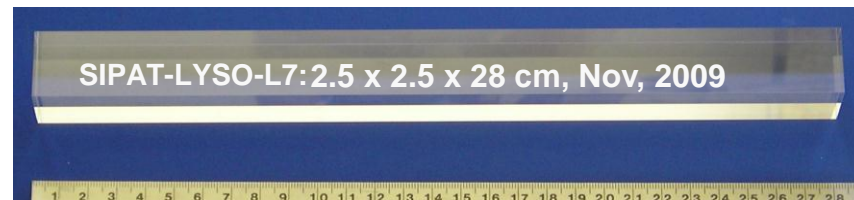
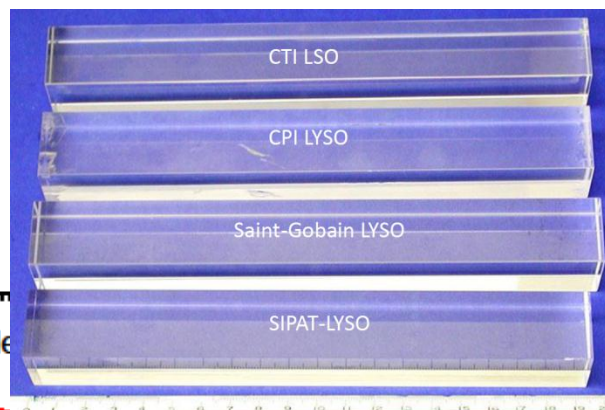
**Supported by DOE ADR and US CMS Upgrade Effort the Caltech group has been investigating this material for HEP applications since 2005 [\*]. Findings:**

- Its radiation hardness is excellent against  $\gamma$ -ray, neutrons and high energy protons (ETH data).**
- There is no recovery, so calibration is relatively easy.**
- As a result, total absorption LYSO ECAL is now baselined for both the Mu2e and SuperB experiments.**

**[\*] References: *IEEE Trans. Nucl. Sci.* NS-52 (2005) 3133-3140, *Nucl. Instrum. Meth.* A572 (2007) 218-224, *IEEE Trans. Nucl. Sci.* NS-54 (2007) 718-724, *IEEE Trans. Nucl. Sci.* NS-54 (2007) 1319-1326, *IEEE Trans. Nucl. Sci.* NS-55 (2008) 1759-1766 and *IEEE Trans. Nucl. Sci.* NS-55 (2008) 2425-2341, paper N69-8 @ NSS08, Dresden, paper N32-3, N32-4 and N32-5 @ NSS09, Orlando, paper N38-2 @ NSS10, Knoxville, and paper N29-6 @ NSS11, Valencia .**

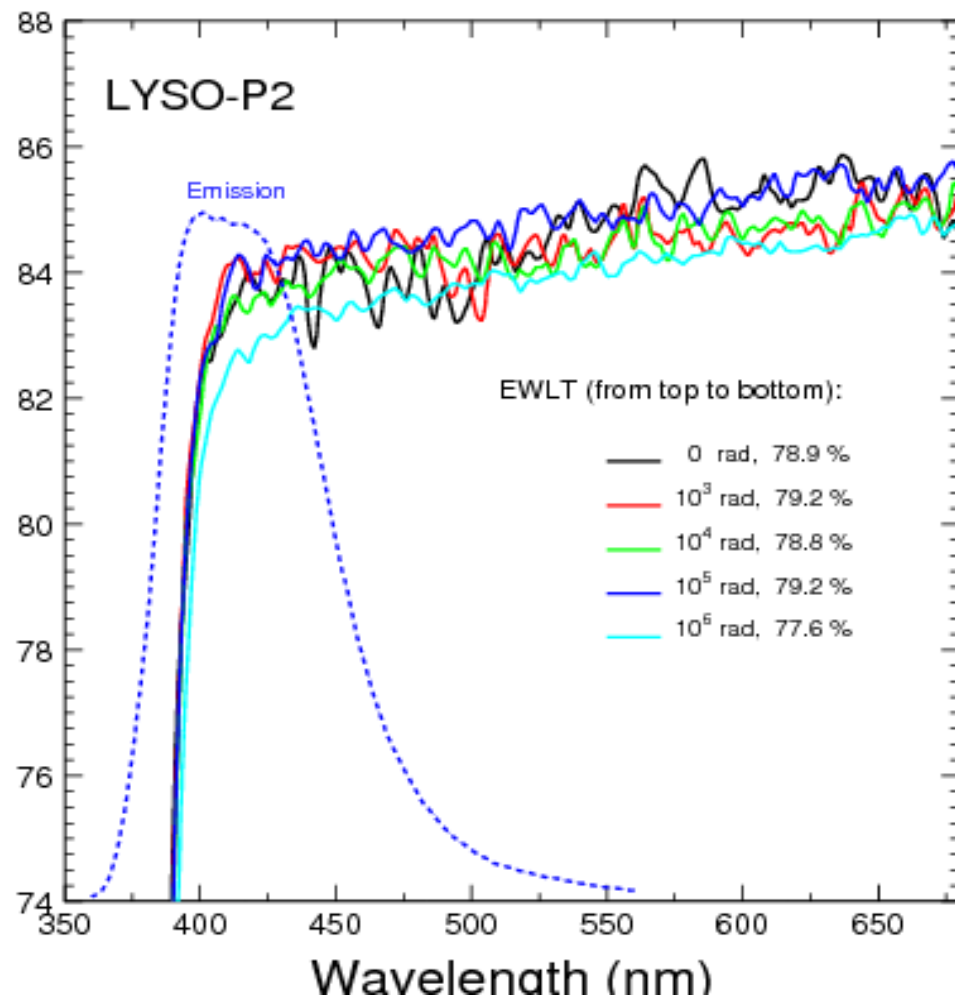
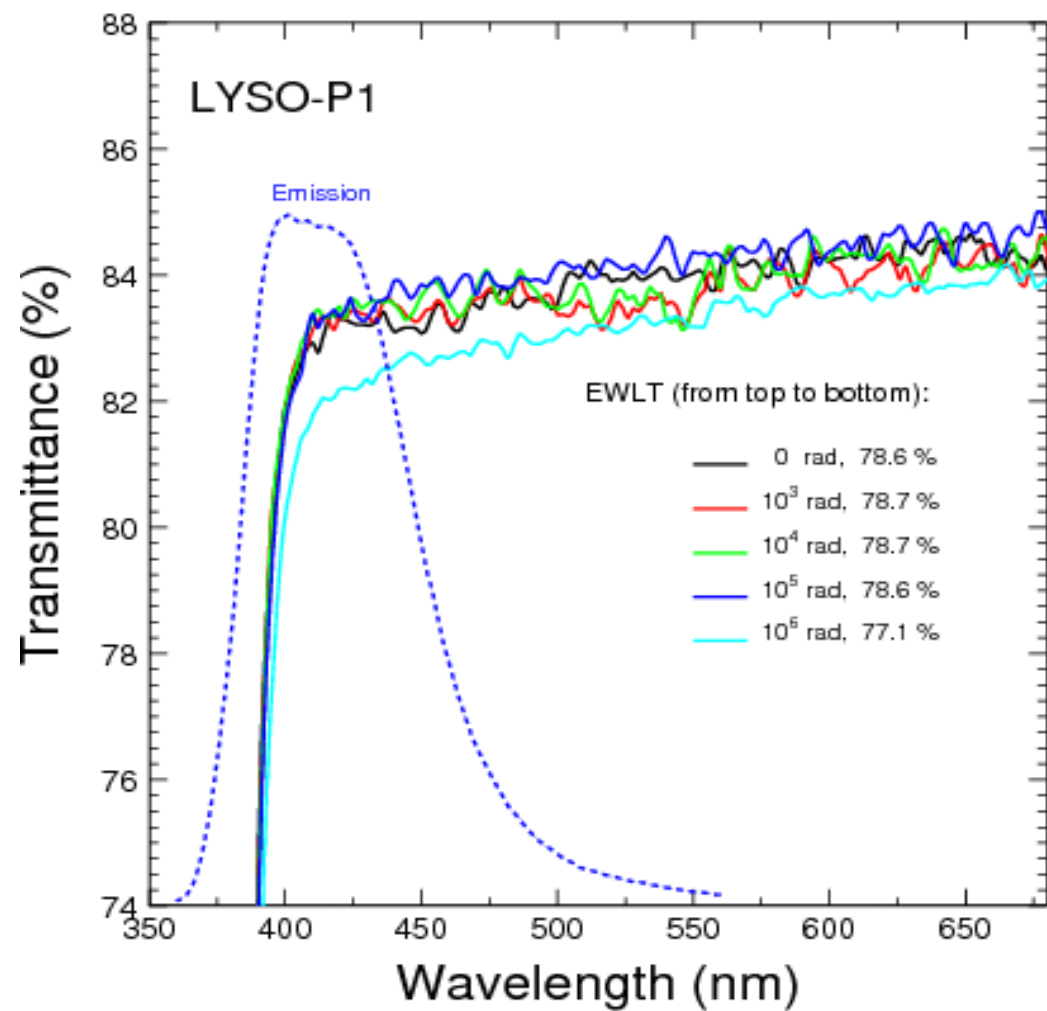
# LYSO Crystal Against Gamma-Rays

2.5 x 2.5 x 20 cm  
Samples





# Radiation Hard LYSO Plates







# Summary of Fast Scintillation Crystals (Zhu)

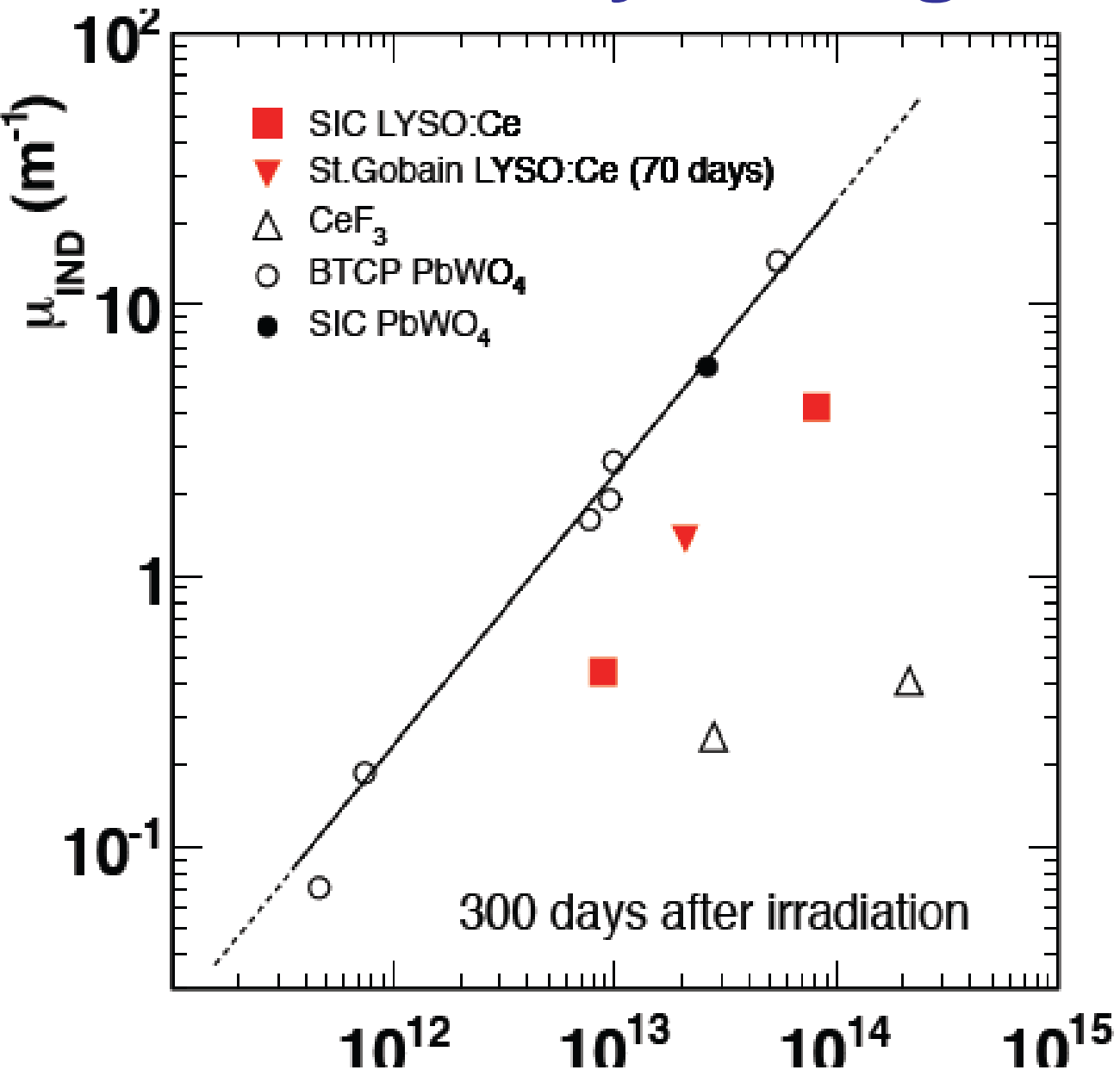


	LSO/LYSO	BaF <sub>2</sub>	CsI	CeF <sub>3</sub>	CeBr <sub>3</sub>	LaBr <sub>3</sub>	LaCl <sub>3</sub>	YSO	GSO
Density (g/cm <sup>3</sup> )	7.40	4.89	4.51	6.16	5.10	5.29	3.86	4.54	6.71
Rad. Length (cm)	1.14	2.03	1.86	1.70	1.96	1.88	2.81	3.04	1.38
Molière Rad. (cm)	2.07	3.10	3.57	2.41	2.97	2.85	3.71	2.87	2.23
Interaction Length (cm)	20.9	30.7	39.3	23.2	31.5	30.4	37.6	27.3	22.2
Z value	64.8	51.6	54.0	50.8	45.6	45.6	47.3	33.3	57.9
dE/dX (MeV/cm)	9.55	6.52	5.56	8.42	6.65	6.90	5.27	6.70	8.88
Emission Peak <sup>a</sup> (nm)	420	300 220	420 310	340 300	371	356	335	420	430
Refractive Index <sup>b</sup>	1.82	1.50	1.95	1.62	2.3	1.9	1.9	1.80	1.85
Rel. Light Yield <sup>a,c</sup>	100	42 4.8	4.2 1.3	8.6	144	153	15 49	40	35
Decay Time <sup>a</sup> (ns)	40	650 0.9	30 6	30	17	20	570 24	70	65
d(LY)/dT <sup>d</sup> (%/°C)	-0.2	-1.9 0.1	-1.4	~0	-0.1	0.2	0.1	-0.3	-0.7

- a. Top line: slow component, bottom line: fast component.
- c. Relative light yield normalized to the light yield of LSO

- b. At the wavelength of emission maximum.
- d. At room temperature (20°C)

# LYSO Crystal Against Hadrons



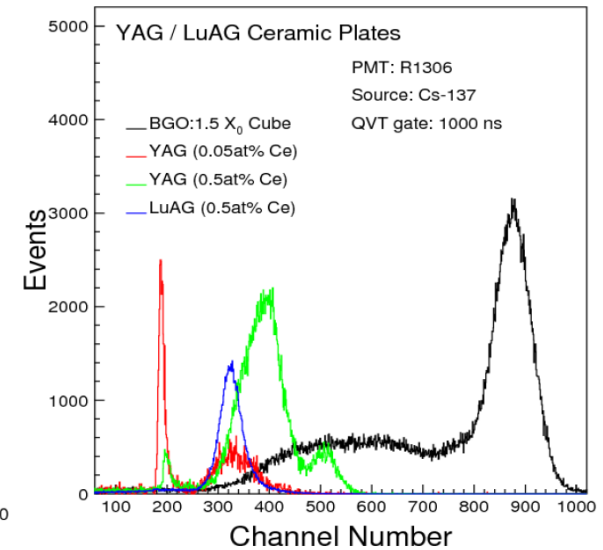
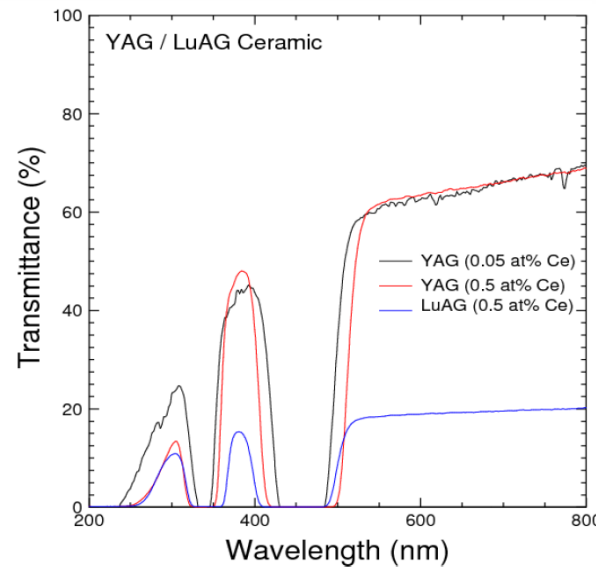
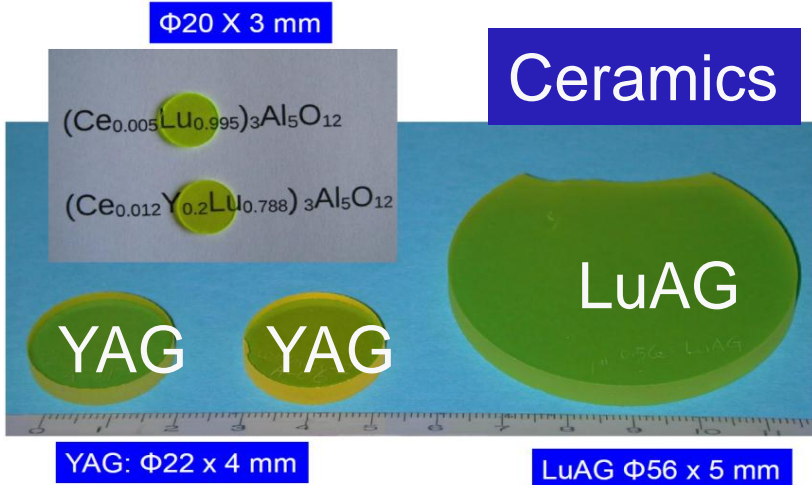
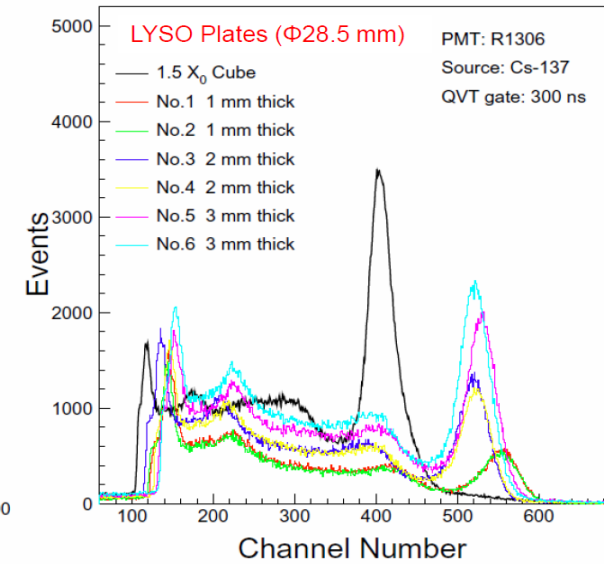
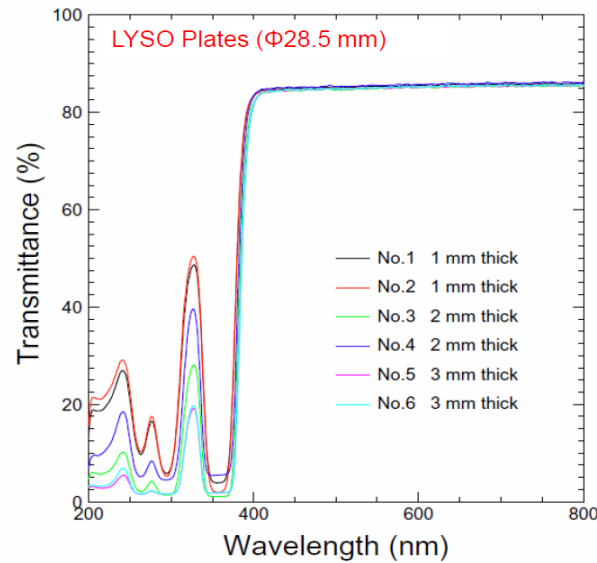
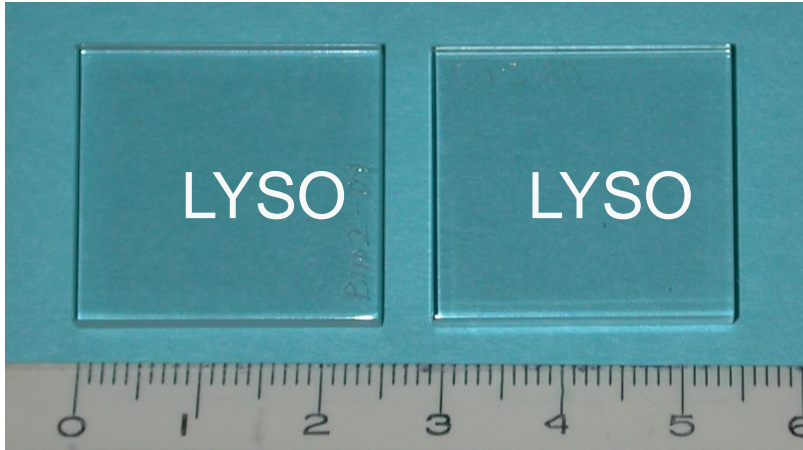
F. Nessi, talk at  
Forward Calorimeter  
Workshop, Fermilab:

**Hadron damage in  
LYSO is a factor of  
five less severe than  
that in PWO.**

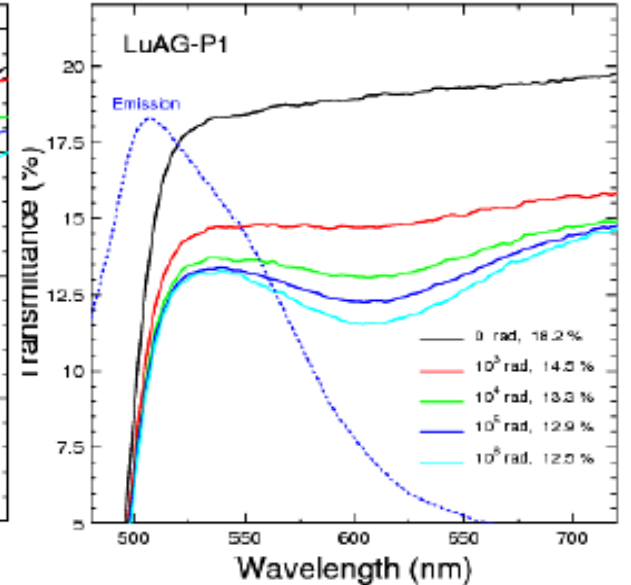
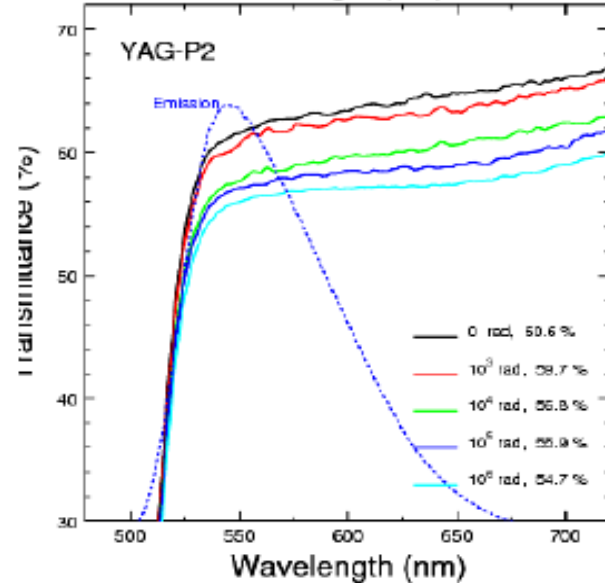
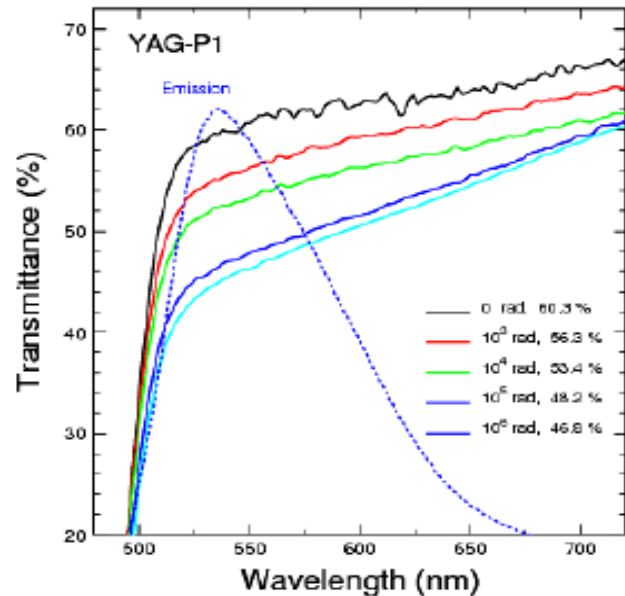
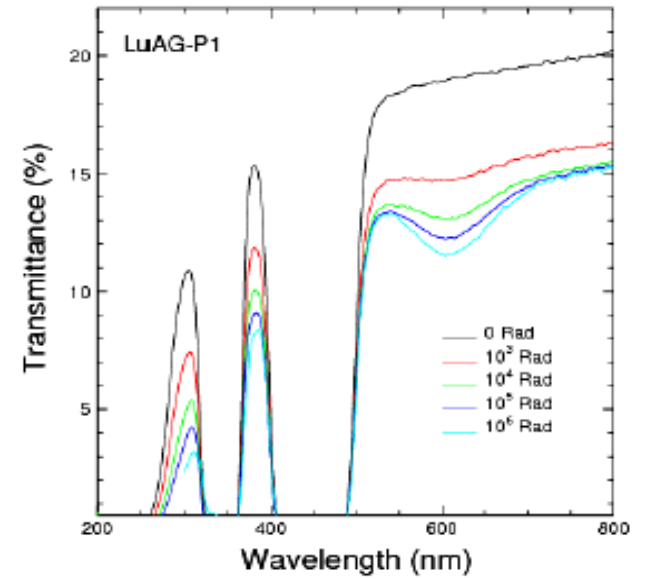
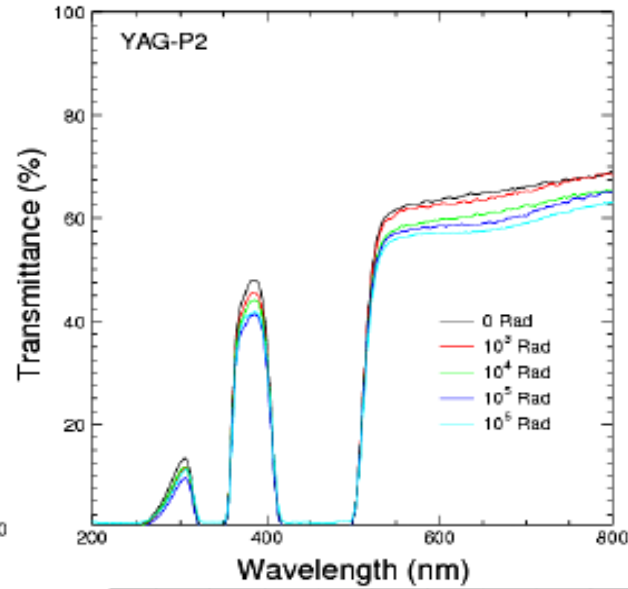
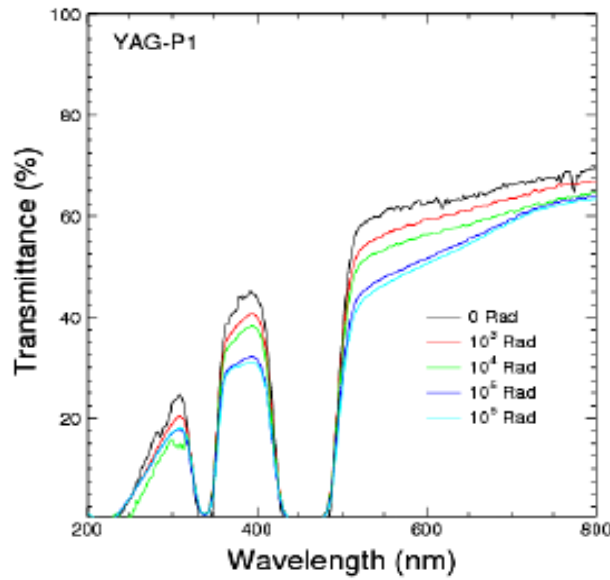
Longer than 30 cm  
light attenuation length  
is expected after  
 $10^{14}$  hadrons/ $\text{cm}^2$ .

# Performance of Scintillator Plates

## Crystals



# Radiation Hardness of Ceramics

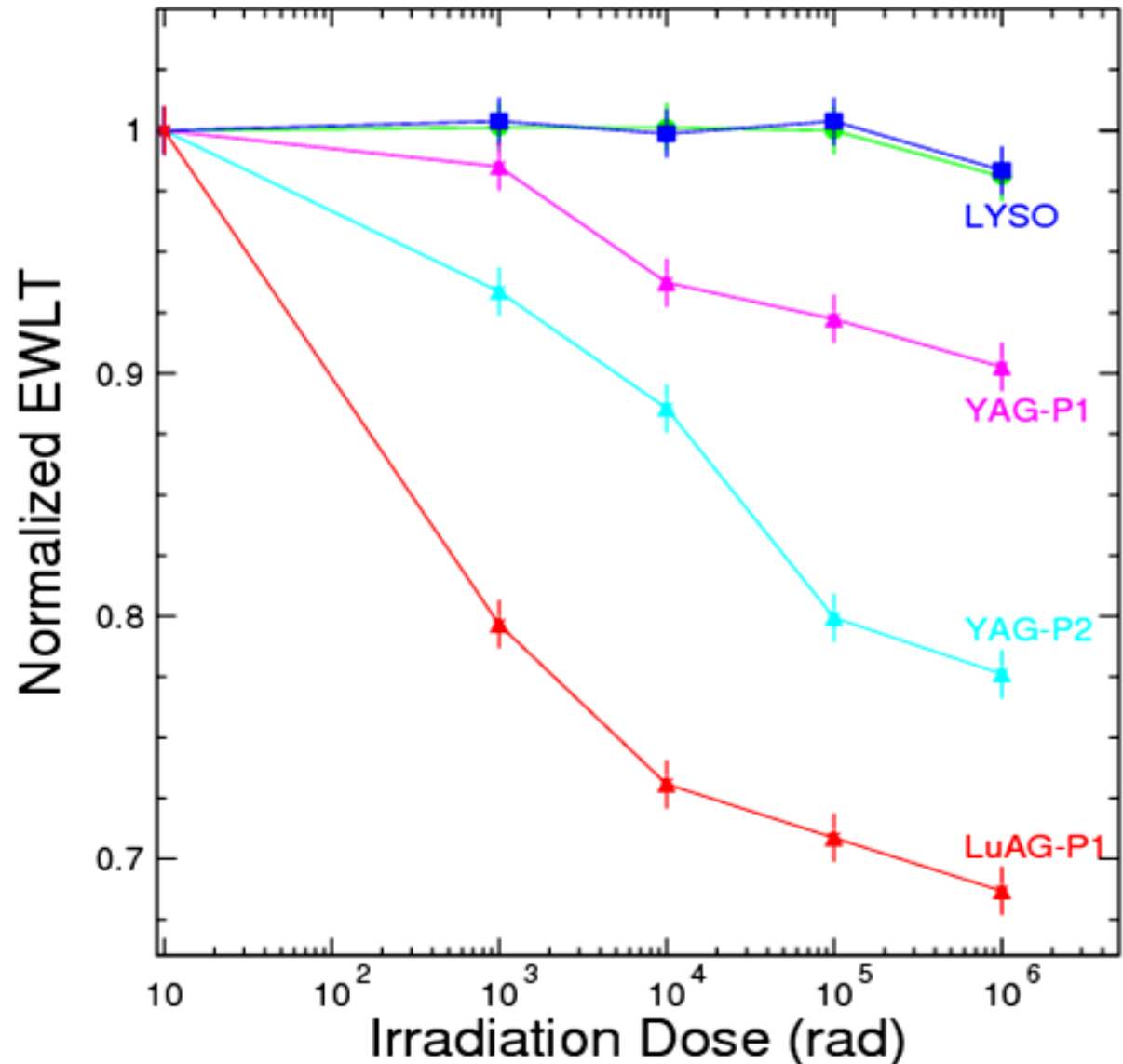


# Normalized EWLT: LYSO & Ceramic

As expected LYSO is radiation hard: a few % @ 1 Mrad

Ceramics, on the other hand, seem not radiation hard

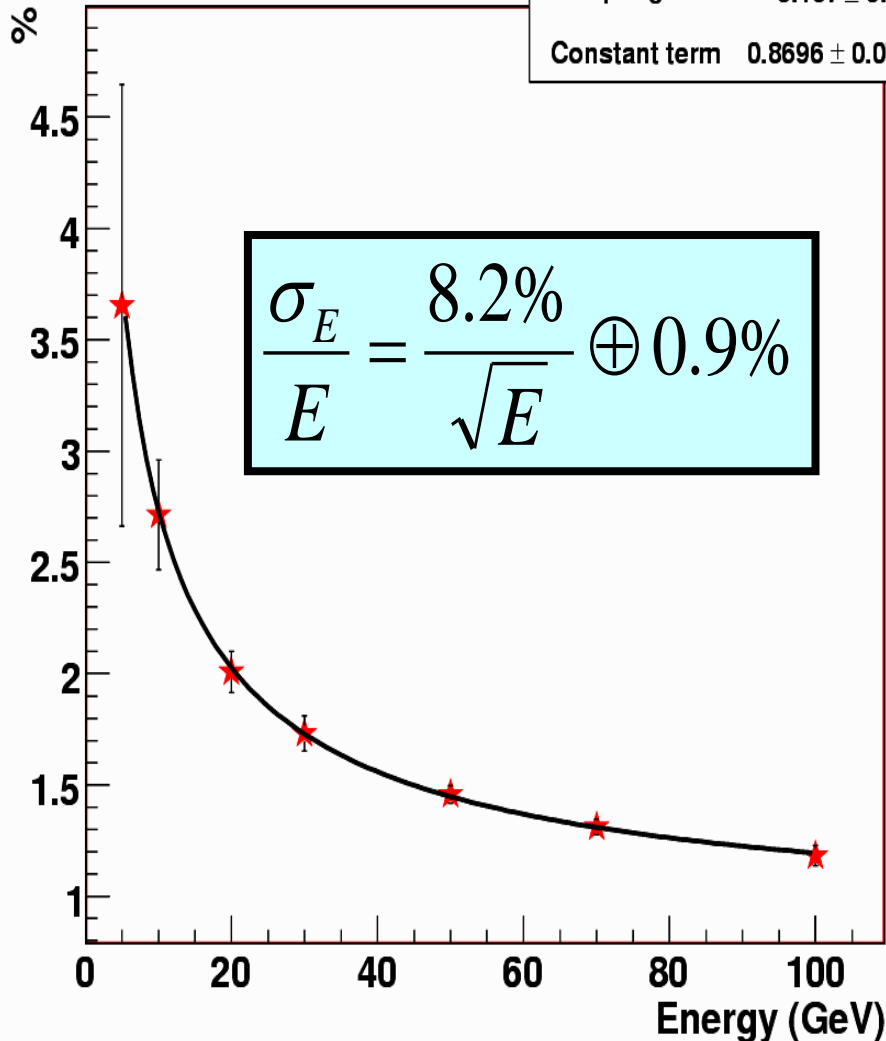
Further Investigation is needed.



# Performance of Pb/Sc Shashlik ECAL

Energy resolution

$\chi^2 / \text{ndf}$  0.1957 / 5  
 Sampling term  $8.187 \pm 0.4199$   
 Constant term  $0.8696 \pm 0.07223$



Pizero Peak:

$\langle m \rangle = (135.0 \pm 0.6) \text{ MeV}$

$\sigma = (10.9 \pm 0.7) \text{ MeV}$

